



# Annual Action Plan

## Final Maricopa Urban County

The CPMP Annual Action Plan includes the SF 424 and Narrative Responses to Action Plan questions that CDBG, HOME, HOPWA, and ESG grantees must respond to each year in order to be compliant with the Consolidated Planning Regulations.

## Narrative Responses

### ACTION PLAN

Annual Action Plan includes the [SF 424](#) and is due every year no less than 45 days prior to the start of the grantee's program year start date. HUD does not accept plans between August 15 and November 15.

#### **Executive Summary 91.220(b)**

##### **Background**

*The Maricopa Urban County used approximate funding amounts in its draft 2013-2014 Annual Action Plan because HUD had not yet provided the final allocation amounts for Federal Fiscal Year 2013. This is the **Final Revised Maricopa Urban County Fourth Year Annual Action Plan** hereinafter, referred to as the ("Plan").*

*The Plan was submitted to HUD on May 15, 2013 using estimated funding allocation amounts. HUD released at the end of May 2013, Federal Fiscal Year allocation amounts for Community Development Block Grant (CDBG), HOME investment Partnerships (HOME) Program, Emergency Solutions Grant (ESG). The County's method of distribution for these funding sources remained unchanged as described in the Annual Action Plan FY2013/2014. Updates to funding allocation for CDBG projects and ESG activity amounts were revised based on HUD final allocation. Refer to HOME Consortium CAPER for housing activity allocations. The CDBG funding for FY13/14 is \$2,589,851, HOME is \$3,114,227 and ESG is \$164,046.*

##### **Introduction**

*The **Maricopa Urban County Fourth Year Annual Action Plan** hereinafter, referred to as the Plan is effective from July 1, 2013 through June 30, 2014. This Year Four Annual Action Plan is an integral part of the Five-Year Consolidated Plan process. It builds on the citizens' participation, needs assessment, goal setting and performance planning accomplished in the Consolidated Plan; and is another step in the implementation process. This Annual Action Plan serves as the means by which HUD grants and related resources are allocated to activities designed to meet the needs identified in the Consolidated Plan.*

*The Urban County is comprised of unincorporated areas within Maricopa County and incorporated municipalities of the towns of Buckeye, Gila Bend, Guadalupe, Queen*

*Maricopa Urban County Year 4 Annual Action Plan  
Revised June 2013*

Creek, Wickenburg, Youngtown; and the cities of El Mirage, Goodyear, Litchfield Park<sup>1</sup>, and Tolleson. These entities have elected to participate in the Maricopa Urban County and their planned activities are incorporated in this Plan. The administering agency responsible for the Plan is the Maricopa County Human Service Department Community Development Division (the "HSD").

In February 2013, local communities in Maricopa Urban County prepared applications for Community Development Block Grant (CDBG) funds for activities to be undertaken in FY 2013-2014 to meet the goals that were proposed in their respective five-year strategies. On March 20, 2013, the Maricopa County Community Development Advisory Committee (CDAC) held a public hearing and heard presentations from local communities regarding their funding requests for Year Four under the Consolidated Plan.

This Annual Action Plan was developed and made available to the public for comment from April 1, 2013 through May 6, 2013. The Annual Action Plan was presented to the CDAC on April 10, 2013 and was presented to the Board of Supervisors for adoption on May 8, 2013. On May 15, 2013 HSD submitted the Annual Action Plan Year Four to HUD. May 31, 2013, HUD released final allocation for Federal Fiscal 2013, in order to notify the public of final allocation amounts for the HOME, CDBG and ESG programs, HSD revised the Annual Action and will provide the public 30 days public comment period.

This Annual Action Plan specifically identifies the activities to be undertaken during FY 2013-2014 with CDBG, HOME, and ESG funds.

The key strategic objectives of the 2010-2014 Consolidated Plan are:

1. Increase the quality of owner-occupied housing through housing rehabilitation assistance to low and moderate-income households,
2. Increase the supply of affordable owner-occupied housing by providing downpayment assistance to low and moderate-income households,
3. Increase the supply of affordable owner-occupied housing by providing land acquisition and development assistance,
4. Increase the supply of rental housing by providing acquisition and rehabilitation assistance,
5. Increase the supply of rental housing by providing assistance for land acquisition and development of units,
6. Preserve the supply of quality rental units in the public and private market through continuation of public housing and Housing Choice Voucher assistance,
7. Through participation in the MAG Continuum of Care Homeless Committee,
  - a. Contribute to the Human Services Campus facility for the homeless,
  - b. Support implementation of the Homeless Management Information System,
  - c. Continue homeless prevention services through administration of the ESG,
  - d. Increase performance and accountability through program evaluation.
8. Provide a suitable living environment, particularly benefiting low and moderate-income people through:
  - a. Public improvements
  - b. Public facilities
  - c. Public services
  - d. Commercial rehabilitation

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<sup>1</sup> Litchfield Park is a non-participating member.

**CDBG Funds:** FY 2013-2014 CDBG Activities and Objectives planned, Total Allocation = \$2,589,851 plus \$280,675 from prior multiple CDBG program years.

Location	Activity	CDBG Allocation	Other Funds		Outcome Indicator	Strategic Objective	Priority
			Amount	Source			
Gila Bend	Waterline & Fire Hydrant Replacement	\$429,343	\$12,152	Local Funds	1,862LF/ 50 persons	SO-8(a)	HIGH
Goodyear	Waterline and Storm Sewer Improvements	\$589,670	\$65,000	Local Funds	2,087& 2,052LF/ 26 persons Slum & Blight	SO-8(a)	HIGH
Guadalupe	Pavement Replacement Phase III	\$471,653	\$3,581	Local Funds	2,405LF/ 155 persons	SO-8(a)	HIGH
MCHSD	Weatherization Emergency Repair	\$210,000	\$2,783,000	Fed, State, Local Funds	14 hhlds 14 homes	SO-1	HIGH
Urban County NHS	Housing Counseling & Education NHS	\$66,277	\$23,322	Banks	82 hhlds	SO-8(a)	HIGH
Respite Shelter	Men's Respite Shelter	\$69,400	\$101,545	Fed, State, Local Funds	20 homeless persons	SO-8(c)	HIGH
Wickenburg	Waterline (Barnett)	\$292,471	\$31,490	Local Funds	2,595LF/88 persons (77 hhlds)	SO-8(a)	HIGH
Wittmann	Volunteer Fire District	\$223,742	\$0	None	3,591 persons	SO-8(b)	HIGH
Urban County	Grant Administration	\$517,970					

**HOME Funds:** Maricopa County's share of the HOME funds for Urban County projects is \$752,225 FY 2013-2014 planned activities and objectives, (administration \$192,995 and \$559,260 for projects).

Location	Activity	HOME Allocation	HUD Objective	Proposed Outcome	UMC Strategic Objective
Urban County	Housing Rehabilitation	\$279,630	Decent Housing	Affordability	SO-1
Urban County	Homebuyer Assistance	\$279,630	Decent Housing	Affordability	SO-2

**ESG Funds:** FY 2013-2014 planned activities and objectives. Total Allocation = \$164,046.

Location	Activity	Allocation	HUD Objective	Proposed Outcome	UMC Strategic Objective
Countywide	Shelter Operations	\$98,428	Suitable Living Environment	Sustainability	SO-7(d)
Countywide	Homeless Prevention	\$53,315	Suitable Living Environment	Sustainability	SO-7(d)
Countywide	Administration	\$12,303	Suitable Living Environment	Sustainability	SO-7(d)

These activities will begin on or after July 1, 2013. Results will be reported in the Consolidated Annual Performance Evaluation Report (CAPER) published in September 2014. To provide a more complete picture of the resources addressing the housing and community development needs of low and moderate-income people, the Annual Action Plan attempts to include as many other resources as possible. This information, detailed in the section on General Questions, is in substantially less detail compared to the HUD resources because those funding sources are not controlled by HUD nor Maricopa County Human Services Department, and do not follow the same planning timetable. However, the overview is important in understanding the context for the Maricopa Urban County approach to meeting community needs.

### Performance Summary for the Prior Year

1. For the program year that ended June 30, 2012, \$1,322,454. See below Table 1 is projects funded in FY11/12 and the status. FY12/13 have not been published per CAPER requirements. Table 2 is all open projects and the total expenditures reported in FY11/12.

Table 1 – FY2011/2012 CAPER Results

Urban County FY2011-2012 CDBG Activities and Objectives									
HUD Objective: Suitable Living Environment for Low and Moderate Income People									
Location	Activity	CDBG Allocation	CDBG Expenditures*	IDIS PRO3	Status	Amount & Source of Other Funds	Annual Goals (Planned )	Outcome	Urban County Strategic Objective
El Mirage	Waterline Improvements	\$ 320,000	\$ 943.64	\$ -	Design Complete. Bid process underway	\$11,315	2100LF	Availability/ Accessibility	SO-8 (Public Improvements)
						Local	3339 persons		
Buckeye	Sidewalk Improvements (4th Ave)	\$ 217,742	\$ 677.72	\$ -	Design 90% Complete	\$22,000	2750LF	Availability/ Accessibility	SO-8 (Public Improvements)
						Local	2000 persons		
Gila Bend	Water System Improvement	\$ 315,475	\$ 9,523.25	\$ 8,850.00	Design Complete	\$10,234	1860LF	Availability/ Accessibility	SO-8 (Public Improvements)
						Local	2000 persons		
Guadalupe	Sidewalk Improvements	\$ 393,945	\$ 487.43	\$ -	Construction underway, 65% Complete	\$95,667	2500 LF	Availability/ Accessibility	SO-8 (Public Improvements)
						Local	3000 persons		
Youngtown	Waterline Improvements	\$ 400,000	\$ 397.94	\$ -	Bid process complete. Construction to start 7/12	\$76,500	3250LF	Availability/ Accessibility	SO-8 (Public Improvements)
						Local	2275 persons		
Urban County	Grant Administration	\$ 411,791	\$ 305,729.31	\$ 160,015.70					
<b>Total:</b>		<b>\$ 2,058,953</b>	<b>\$ 317,759</b>	<b>\$ 168,866</b>		<b>\$215,716</b>			

\* Amounts include are based on County's Fund Balance Report as of 8/13/12.

The table above lists the CDBG activities for the FY2011/2012 CAPER (Year 2) reporting period.

\*Funds spent based on County's Fund Balance Report as 8/13/12, does not include FY12/13 expenditures.

Table 2 -FY11/12 CAPER Results- All Expenditures

CDBG Activities, Expenditures and Accomplishments		
Activity Type	Expenditures*	General Accomplishments
Waterline Improvements	\$817,447	El Mirage-2 projects underway Gila Bend- 2 projects underway Goodyear-Construction will be completed Wickenburg El Recreo-Construction completed in 2011/12. Project close-out to occur in FY12/13. Youngtown- 2 project underway and construction completed in 2011/12. Project close-out to occur in FY12/13.
Sewer line Improvements	\$136,822	Wickenburg Washington Alley- Construction completed in 2011/12. Project close-out to occur in FY12/13.
Road/Sidewalk Improvements	\$(26,178)	Buckeye Alacarcon Blvd Sidewalks- Construction complete. A credit from insurance claim will be processed FY12/13 (expended \$-27,343). 4 <sup>th</sup> Ave Sidewalks- design 90% complete (expended \$677) and Guadalupe-sidewalk project is underway (expended \$487.43)
Public Facilities-Parks	\$113	Goodyear Park- project out for bid for construction.
Fire Fighting Equipment	\$97,536	Tolleson Fire equipment- Purchase of equipment completed in 2011/12. Project close out to occur in 2012/13.
Urban County CDBG Administration	\$296,712	Management of grant funds
<b>Total Community Development Expenditures</b>	<b>\$1,322,452</b>	

*The table above lists all CDBG open activities for the FY2011/2012 CAPER (Year 2) reporting period. \*Funds spent based on County's Fund Balance Report as 8/13/12, does not include FY12/13 expenditures.*

*During that same CAPER reporting period, Urban County HOME expenditures totaling \$587,165 targeted the objective of decent housing and the outcomes of accessibility and affordability. Housing Rehabilitation- \$308,501 20 units completed, Homebuyer Assistance-\$152,908 6 clients served, Single-family New Construction 6 projects completed.*

*In addition, during that same CAPER reporting period \$94,343 in ESG funds were spent to reach an outcome of availability/accessibility for the improved living environment that comes with shelter for 1,700 individuals. HUD grant expenditures leveraged an additional \$80,991 in private and government expenditures. Maricopa Urban County made significant progress toward meeting its goals and objectives.*

## **Citizen Participation 91.200 and 91.220(b)**

1. Provide a summary of the citizen participation and consultation process (including efforts to broaden public participation in the development of the plan.

*Maricopa County Human Services Department, Community Development Division ("HSD"), is the agency responsible for developing and implementing this Annual Action Plan, and administering the Urban County CDBG and HOME grants. The*

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*Community Services Division in the Maricopa County Human Services Department is responsible for administering the ESG grant. The roles of staff primarily focus on:*

- planning,*
- technical assistance,*
- regulatory compliance,*
- financial management,*
- reporting,*
- monitoring, and*
- staff support to the Community Development Advisory Committee (CDAC) and the County Board of Supervisors.*

*Coordination between public and private agencies allows these programs to work effectively. The Urban County continues to strive for collaboration and fiscal responsible projects. The communities of Buckeye, El Mirage, Goodyear, Gila Bend, Guadalupe, Queen Creek, Tolleson, Wickenburg, and Youngtown, and the unincorporated areas of the County are represented on the Community Development Advisory Committee (CDAC). The CDAC is responsible for advising the Maricopa County Board of Supervisors on the annual allocations of CDBG and HOME funds.*

*The participation in a public forum guided by adopted by-laws and mandatory community development objectives of each participating community is intended to provide for a reasonable distribution of the available HUD resources, and provide for broader citizen participation in the planning process.*

- Maricopa County Human Services Department, Community Development Division, issues a Notice of Funding Availability each year to Urban County participants and interested parties announcing the availability of CDBG and HOME funds. Interested participants submit applications identifying a local community need affecting low/moderate income residents. Each applicant is asked to submit a resolution from the local community identifying the need and local support for the activity.*
- The CDAC reviews the applications and makes recommendations to the Board of Supervisors based on the following criteria:*
  - relative need of the community,*
  - the effect of the proposed activities on the health and safety of the community,*
  - past performance,*
  - monitoring results,*
  - quality of proposed annual activities; and*
  - rates of expenditure on currently funded activities.*
- Priorities for each category were developed in consultation with the member communities in the preparation of the Five-Year Consolidated Plan, and are detailed there.*

*The CDAC provides a vehicle for community involvement, oversight and advice to the Board of Supervisors on the CDBG and HOME grants. Other activities included in the plan but not funded by CDBG, HOME, or ESG are administered as follows:*

- Public Housing and Housing Choice Vouchers: Housing Authority of Maricopa County,*
- County Economic Development Grants: Maricopa County Human Services Department - Workforce Development Division,*
- Housing Bonds: Maricopa County Industrial Development Authority,*
- County Participation in the MAG Continuum of Care: Maricopa County Human Services Department.*



*The Citizens Participation Plan part of the FY 2010-2014 Five-Year Consolidated Plan is located in the Additional Files folder of that document. The Citizens Participation Plan mirrors the requirements of 24 CFR §91.200. It is specifically adopted as part of this Annual Action Plan. Participation by communities, agencies, and individual citizens was solicited through at least two public hearings for this Annual Action Plan, for revisions (if any) to the Consolidated Plan, for revisions (if any) to the FY 2012-2013 Annual Action Plan, and for the FY 2011/2012 Consolidated Annual Performance Evaluation Report. Public notices invite participation in alternate languages and formats to encourage participation by minorities, low-income persons, and persons with disabilities. All public notices include information on how to request special assistance for sight and/or hearing impaired persons at the public meetings. In addition, notices include information on who to contact for Spanish translation of the notice. To reach a broader audience, notices of public hearing are posted on the County website at <http://myhsd.maricopa.gov/> in addition to the local libraries that service the Urban County communities.*

*The citizen participation process for the FY 2013-2014 Annual Action Plan consisted of the following:*

- *Submission of grant applications for FY 2013-2014 CDBG and HOME funding to Maricopa County Community Development by February 8, 2013.*
- *Public Hearing by the CDAC on March 13, 2013, to hear presentations from local communities regarding their funding requests for Year Four under the Consolidated Plan. The notice for this hearing was published on March 5, 2013.*
  - *Eight communities/nonprofits made presentations requesting funding for eight separate activities.*
  - *Themes regarding community development needs presented in the applications and at the hearing included:*
    - *Obsolescence of aging infrastructure generally impacting low/moderate income areas in and around older downtowns,*
    - *Homeless shelter operations,*
    - *Weatherization emergency repair,*
    - *Local contributions to support the applications, and*
    - *The need for federal funds to address these priority needs, which for a variety of reasons could not be addressed in a timely manner with local funds alone.*
- *Public Hearing by the CDAC on March 20, 2013, to finalize funding recommendations and program amendments to the Maricopa County Board of Supervisors.*
- *Proposed amendments to the 5 Year Consolidated Plan supported by the Community Development Advisory Committee are listed in the file in Amendment to the Consolidated Plan.*
- *30 day public comment period was began April 1 through May 6, 2013. The public notice for this year's annual action plan appeared in the East Valley Tribune April 3, 2013, Daily News April 1, 2013 and West Valley View on April 2, 2013 as well as was posted on the Maricopa County Human Services website. Public hearings provide citizens the opportunity to comment on proposed actions and/or accomplishments of consortium members. Those wishing to comment could do so verbally or in writing. Prior to the hearing, no requests to testify were received; during the hearing, no citizens appeared at the hearing to submit comments or*

testify. Documentation of the public hearing notices can be found at Attachment A. No comments were provided to HSD during the 30-day public comment period that Additional comments are listed below as received during that period.

- Public Hearing by the CDAC on April 10, 2013 to solicit input on the Annual Action Plan.
- Presentation of the Annual Action Plan to the Maricopa County Board of Supervisors for adoption on May 8, 2013.
- May 15, 2013 HSD submitted the Annual Action Plan Year Four to HUD.
- May 31, 2013 HUD released funding allocations for HOME, CDBG and ESG programs.
- Revised Annual Action Plan Year 4 public notice was published on June 10, 2013 to July 10, 2013

After the Annual Action Plan was drafted, copies of the relevant sections were distributed to the following individuals for their review:

- Gloria Munoz, Executive Director, Housing Authority of Maricopa County
- Margaret Trujillo - Interim Director, Maricopa County Human Services
- Brande Meade, Human Services Project Manager, Maricopa Association of Governments, Continuum of Care Regional Committee on Homelessness

2. Comments and opinions received from the public during the public comment period are listed below. Comments on the FY 2013-2014 Annual Action Plan and plan amendments are as follows:

- No comments were received at the public hearing on the Plan or during the comment period.

Efforts to broaden public participation in the FY 2010-2014 Five-Year Consolidated Plan and Annual Action Plan process:

- For the Consolidated Plan, an internet survey was conducted in the fall of 2009. The number of people surveyed was 249. Of this number, 163 persons completed the survey in its entirety.
- Eleven participating communities and jurisdictions in the Urban County approved their Annual Action Plan applications for funding each year of the five years of the FY 2010-2014 Consolidated Plan at publicly noticed meetings.
- A notice and invitation for public comment on the Year-Four Annual Action Plan was posted on the Maricopa County Human Services Department - Community Development Division web page and published in three different papers on April 1, 2, or 3, 2013 for the 30-day comment period from April 4, 2013 through May 6, 2013.

All comments received before the end of the comment period were accepted by Maricopa County.

During the next year the following actions will impact the institutional structure of the delivery of HUD grants and Community Development services in the Urban County.

1. Maricopa County will continue to serve as the administrator for the Urban County Community Development Block Grant and as the Lead Agency for the Maricopa HOME Consortium for the next several years as/if requested.
2. Under the umbrella of the Maricopa County Human Services Department, the Divisions of Education, Community Services, Community Justice Support



*Services, and Work Force Development work closely with the Community Development Division. The combined efforts strengthen all concerned and result in a continuum of care encompassing homelessness prevention, rent and utility assistance, help finding employment and/or obtaining training, stable housing, homeownership opportunities, and tools for long term financial stability.*

## **Monitoring (91.230)**

1. Describe actions that will take place during the next year to monitor its housing and community development projects and ensure long-term compliance with program requirements and comprehensive planning requirements.

### **Action Plan Monitoring Responses:**

*Monitoring began at the application process that was part of the development of the Annual Action Plan. The Monitoring Policy is included in the Additional Files folder with the Consolidated Plan.*

- *Each application for funding was reviewed for compliance with national objectives and the Consolidated Plan.*
- *Applications were reviewed for past performance with the CDAC as a part of the preparation of the Annual Action Plan.*
- *The second monitoring step is the review of invoices for reimbursement of costs incurred against the grant. This ongoing review is documented in the invoices and back up documents received and processed by the County.*
- *The more formal monitoring begins with a risk assessment of all grant-funded projects and contracts. The risk assessment considers the size of the grant contract, changes in organizational structure or project staffing, and how long since the last on-site monitoring.*
- *Based on the risk assessment, there are two possible monitoring options:*
  - *Desk review*
  - *On-site monitoring:*  
*An on-site monitoring follows a formal monitoring tool and includes site inspections of a sample of assisted rental units to verify housing quality. Monitoring may result in findings, concerns, or suggestions for improvement. The agency is given an opportunity to correct any findings. The need for follow-up review is considered in the risk assessment for the next year and corrections to prior year findings are specifically included in the subsequent monitoring.*
- *Additional monitoring steps for the Urban County include:*
  - *Continuing Use Certifications:*  
*Each year, Maricopa County Human Services Department - Community Development Division sends out continuing use certifications to Urban County funding recipients to ensure that properties purchased/rehabilitated with CDBG/HOME funds are utilized for the original intended purpose.*
  - *Multi-Family Housing:*  
*Each year, Urban County multi-family housing projects are monitored for long-term compliance with CDBG/HOME regulations; including income verification and high/low home rent requirements. Physical inspections are done on the properties to ensure the units are properly maintained.*

## **Resources 91.220(c)(1)) and (c)(2)**

*The following shows an estimate of the resources Maricopa Urban County anticipates receiving for the 2013 program year. Budgetary cuts at the Federal had not been determined as of the drafting of the Annual Action Plan.*

*Estimated Federal, state, and local resources expected to be made available in FY 2013/2014 to address the needs and leverage identified in the plan include the following.*

*Federal Resources (estimates subject to change):*

*The Human Services Department which includes the divisions of Community Development (Lead agency for the HOME Consortium and Entitlement community for Urban County for CDBG), Workforce Development, Community Services, Community Justice and Head Start.*

*-Community Development HSD: includes programs for housing, community development and weatherization of homes.*

- *CDBG – \$2,589,851 for Urban County projects to provide public improvements, public services and public facilities for low-income residents in Maricopa Urban County communities.*
- *HOME –\$3,114,227 in HOME funds will be used within the Maricopa HOME Consortium to create and maintain affordable housing for low-income county residents. Match sources for the HOME funds may include, but not be limited to, corporate contributions, local General Fund contributions, the value of land contributions, and volunteer labor. Program Income – Urban County \$0.*
- *Emergency Solutions Grants – Emergency Solutions funds will be used for emergency housing and homelessness prevention. Maricopa County will receive \$164,046 for this purpose during FY 2013/2014. These entities will use local funds and in-kind contributions to provide the match requirement for the ESG funds.*
- *Department of Energy (DOE) through the Governor’s Office on Energy Policy –Weatherization Programs approximately \$700,000 for homeowners at the 200% federal poverty level in Maricopa County except for City of Phoenix and City of Mesa.*
- *Health and Human Service (HHS)- LIHEP approximately \$1.1 million for homeowners at the 200% federal poverty level in Maricopa County except for City of Phoenix and City of Mesa.*
- *SRP, APS, SWGas, Utility Repair Replace Deposit- provide approximately \$805,000 for weatherization assistance.*
- *Repair and Replace-AZ Community Action Association*
- *Community Services- The Community Services Division administers various programs that provide basic needs services, prevent or alleviate homelessness, home weatherization (part of Community Development not Community Services), and case management to low income and other special populations. The two programs that Community Services Division administers is Utility Assistance and Rental/Mortgage Assistance.*
  - *For FY 14 Maricopa County (exclusive of Phoenix, Mesa and Glendale) is due to receive approximately \$3.1 million in federal LIHEAP funding through AZ DES for utility assistance for low income households in*

*Maricopa County (except the City of Phoenix, City of Mesa and City of Glendale).*

- *For FY 14 Maricopa County (exclusive of Phoenix, Mesa and Glendale) is due to receive approximately \$550,000 in TANF federal funding through AZ DES for rental and mortgage assistance for qualifying low income households..*
- *Community Services Block Grant (CSBG)- For FY 14 Maricopa County (exclusive of Phoenix, Mesa and Glendale) is due to receive approximately \$700,000 in CSBG federal funding to support programs for the low income in Maricopa County.*
- *Social Service Block Grant (SSBG)- For FY 14 Maricopa County (exclusive of Phoenix, Mesa and Glendale) is due to receive approximately \$300,000 to support programs for the low income in Maricopa County (exclusive of Phoenix, Mesa and Glendale).*
- Other Resources in Maricopa County:*
  - *Leverage Resources for housing activities- anticipated to be \$10 million including HOME Consortium members (which includes the Urban County communities).*
  - *Section 8 Program – Housing authorities within the Urban County expect to receive approximately \$45 million in FY 2013/2014 to assist low-income Urban County residents in acquiring and maintaining affordable rental housing. Maricopa County PHA expects to received \$12,923,182- Funding is used to help subsidize tenant rent for a baseline of 1562 vouchers which include Port-outs and 58 Project based vouchers.*
  - *Public Housing – The Housing Authority of Maricopa County expects to receive approximately \$4.6 million in federal funding to maintain public housing stock. In addition, the Consortium expects to receive \$1.8 million in Capital Funds to maintain public housing stock during FY 2013/2014.*
  - *Family Self-Sufficiency – Housing authorities within the Maricopa HOME Consortium expect to receive funding through the Family Self-Sufficiency program to help low-income residents. Maricopa County PHA anticipates to receive \$790,000 to be provided by Community Services Block Grant.*
  - *McKinney-Vento Homeless Assistance Act – The MAG Continuum of Care Committee on Homeless has been awarded renewal funding in the amount of \$24 million in McKinney funds to support homeless assistance providers in Maricopa County during FY 2013/2014 covering 56 projects. Other funding to address homeless needs in the Maricopa Urban County include:*
    - *Ryan White funding*
    - *SSBG*
    - *United Way*
    - *The Emergency Solutions Grant*
    - *CDBG*
    - *Private foundations*
    - *County general revenues*
  - *State Resources:*
    - *Low-Income Housing Tax Credits - The State of Arizona expects to receive \$7 million for calendar year 2013 in Low-Income Housing Tax Credits (LIHTC).*

- *State Housing Trust Funds – approximately \$2 million in Housing Trust Funds are available annually to assist with acquisition and rehabilitation or new construction of rental housing, and emergency shelter units, or rehabilitation of owner-occupied housing, as well as a range of other affordable housing-related activities.*
- *Local Resources:*
  - *The Maricopa County Industrial Development Authority will have the ability to issue mortgage revenue bonds for both single family and multi-family development subject to state cap allocation limits, market feasibility and consumer/developer needs.*
- *Private Resources:*
  - *During FY 2013/2014, a number of private resources will be available to housing developers from sources including Arizona lending institutions under the Community Reinvestment Act, Local Initiatives Support Corporation, and the many nonprofit agencies working to address affordable housing in the region; including The Sustainable Home Ownership (SHO) initiative, a LISC Phoenix-led coalition of nonprofit community development organizations (CDCs) and community organizations including Community Housing Resources of Arizona (CHRA), Desert Mission Neighborhood Renewal, Greater Phoenix Urban League, Valley of the Sun Habitat for Humanity, Housing Our Communities (HOC), Newtown CDC, National Farmworkers Service Center, Neighborhood Housing Services of Phoenix (NHS), Native American Connections and Neighborhood Housing Services. Other nonprofits addressing affordable housing issues include the Affordable Rental Movement of Save the Family, Foundation for Senior Assisted Living.*

*Federal funds will leverage resources from private and non-federal public sources as listed above. Matching requirement of HUD programs will be satisfied by ensuring through the lead agency that the Maricopa Urban County, that sufficient match resources are obtained to meet the 25% match requirement. At a minimum, match resources should be identified at the beginning of the fiscal year. Match requirements are reported by each Consortium and Urban County to the lead agency and compiled annually.*

*Actions that will take place during the next year to enhance coordination between public and private housing, health, and social service agencies:*

- *Maricopa County Human Services Department will continue active participation in the MAG Continuum of Care Committee on Homelessness.*
- *Under the umbrella of the Maricopa County Human Services Department, the Divisions of Head Start, Community Services and Work Force Development work closely with the Community Development Division. The combined efforts strengthen all these programs and result in a continuum of care providing residents in need with homelessness prevention, rent and utility assistance, employment services and employment training, stable housing, homeownership opportunities, and tools for long term financial stability.*

## Annual Objectives 91.220(c)(3)

**\*If not using the CPMP Tool:** Complete and submit Table 3A.

**\*If using the CPMP Tool:** Complete and submit the Summary of Specific Annual Objectives Worksheets or Summaries.xls

**Goals and objectives to be carried out during the action plan period are indicated by placing a check in the following boxes.**

<input checked="" type="checkbox"/>	<b>Objective Category Decent Housing</b> Which includes:	<input checked="" type="checkbox"/>	<b>Objective Category: Suitable Living Environment</b> Which includes:	<input type="checkbox"/>	<b>Objective Category: Expanded Economic Opportunities</b> Which includes:
<input checked="" type="checkbox"/>	assisting homeless persons obtain affordable housing	<input checked="" type="checkbox"/>	improving the safety and livability of neighborhoods	<input type="checkbox"/>	job creation and retention
<input checked="" type="checkbox"/>	assisting persons at risk of becoming homeless	<input checked="" type="checkbox"/>	eliminating blighting influences and the deterioration of property and facilities	<input type="checkbox"/>	establishment, stabilization and expansion of small business (including micro-businesses)
<input type="checkbox"/>	retaining the affordable housing stock	<input type="checkbox"/>	increasing the access to quality public and private facilities	<input type="checkbox"/>	the provision of public services concerned with employment
<input checked="" type="checkbox"/>	increasing the availability of affordable permanent housing in standard condition to low-income and moderate-income families, particularly to members of disadvantaged minorities without discrimination on the basis of race, color, religion, sex, national origin, familial status, or disability	<input type="checkbox"/>	reducing the isolation of income groups within areas through spatial deconcentration of housing opportunities for lower income persons and the revitalization of deteriorating neighborhoods	<input type="checkbox"/>	the provision of jobs to low-income persons living in areas affected by those programs and activities under programs covered by the plan
<input type="checkbox"/>	increasing the supply of supportive housing which includes structural features and services to enable persons with special needs (including persons with HIV/AIDS) to live in dignity and independence	<input type="checkbox"/>	restoring and preserving properties of special historic, architectural, or aesthetic value	<input type="checkbox"/>	availability of mortgage financing for low income persons at reasonable rates using non-discriminatory lending practices
<input type="checkbox"/>	providing affordable housing that is accessible to job opportunities	<input type="checkbox"/>	conserving energy resources and use of renewable energy sources	<input type="checkbox"/>	access to capital and credit for development activities that promote the long-term economic social viability of the community

### **Year-Four, Short-term Objectives: HOME Funded Housing Activities Providing Decent Housing For Low and Moderate-Income People for the Urban County**

*Activities and objectives planned for the FY 2013-2014 year are in the following table:*

Location	Activity	HOME Allocation	Match Allocation	Source of Match	Outcome Indicator	Desired Outcome	Priority
<b>Urban County</b>	Housing Rehabilitation	\$279,630	\$69,907	Local Community / Nonprofit	8 homes	Affordability	HIGH
<b>Urban County</b>	Homebuyer Assistance	\$279,630	\$69,908	Local Community / Nonprofit	20 First-time Homebuyers	Affordability	HIGH
<b>Urban</b>	Grant Administration	\$192,995					

*Maricopa Urban County Year 4 Annual Action Plan  
Revised June 2013*

<b>County</b>						
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The match obligation for Maricopa County's HOME fund allocation is \$139,815.

The summary of specific objectives that will be addressed during the program year are included in the table below which consolidates the Year Four goals and objectives for affordable housing which are funded from HOME for the Urban County only (see HOME Consortium Annual Action Plan for the HOME Consortium).

National Objective: Decent Housing					Goals for Current Year		
Local Strategic Objective	Goal to Address High Priority Needs	Activity	Five Year Goal Housing Units All Sources	Cumulative Prior Years Years 1, 2 (for all HOME Consortium Communities)	AP4 HOME	AP4 CDBG	AP4 All Other Sources
<b>Single-family housing rehabilitation</b>	Increase the quality of owner-occupied housing by providing	Housing rehabilitation assistance to low and moderate income households	715	208	\$279,630 8 units (MCHSD)		
<b>Available/ Accessible</b>							
SO-1							
<b>Single-family housing emergency repair</b>	Improve the habitability of owner occupied housing by providing	Emergency home repair assistance to low and moderate income households	1,250	749		\$210,000 25 units (MCHSD WX)	
<b>Available/ Accessible</b>							
SO-4							
<b>Homebuyer Assistance</b>	Increase the availability of affordable owner housing by providing	Down payment assistance to low and moderate income households	235	120	\$279,630 18 units	\$66,277 165 units (NHS)	
<b>Affordability</b>							
SO-2							
<b>Acquisition of land and construction of new housing for owner occupants</b>	Increase the availability of affordable owner housing by providing	Acquisition and development for owner-occupied housing	210	25			
<b>Affordability</b>							
SO-3							



<b>Acquisition and rehabilitation of rental housing</b>	Increase the supply of affordable rental housing by providing	Acquisition and rehabilitation assistance to house low and moderate income households	114	14			
<b>Affordability</b>							
SO-5							
<b>Acquisition of land and construction of new rental housing</b>	Increase the supply of affordable rental housing by providing	Acquisition and development assistance to house low and moderate income households	50	0			
<b>Affordability</b>							
SO-6							
<b>Preservation of existing public housing units and tenant based rental assistance.</b>	Preserve existing number of units of public housing and Housing Choice Vouchers.	Administration of public housing and Housing Choice Vouchers to house extremely low, low and moderate-income households	6,562	1984			
<b>Affordability</b>							
SO-7							
<b>Expansion of assisted rental units in the private marketplace</b>	Increase the number of assisted rental units in the private rental market through	Applications for additional assisted vouchers when they become available or through tenant based rental assistance.	100	0			
<b>Affordability</b>							
SO-8							

*Maricopa County Human Services is being referred to as (MCC)*

*The numbers in this table includes only Urban County allocations for HOME and CDBG.*

*Note tenant based rental assistance (TBRA) \$184,251 is available Consortium wide including communities in the Urban County but is included in the HOME Consortium AAP Yr4.*

## **Description of Activities 91.220(d) and (e)**

*\*If not using the CPMP Tool: Complete and submit Table 3C*

*\*If using the CPMP Tool: Complete and submit the Projects Worksheets*

Below is a summary of the eligible programs or activities that will take place during the program year for the Urban County only (a member of the HOME Consortium) that will address the priority needs and specific objectives identified in the strategic plan, the number and type of families that will benefit from the proposed activities, proposed accomplishments, and target date for the completion of the activity.

### **Urban County Decent Housing National Objective Annual Accomplishments**

(Note: also reported in the HOME Consortium Annual Action Plan under Urban County)

#### **Strategic Objective: (SO)-1**

##### **Outcome: Availability/Accessibility (DH-1)**

##### **Local Strategic Objective: Single Family Housing Rehabilitation**

*Goals to Address High Priority Needs: Increase the quality of owner-occupied housing*

*Activity: Housing rehabilitation assistance to low and moderate income households*

*Source of Funds: HOME/CDBG/Other Sources*

*Five Year Goal: 715 ownership units*

*Year Four Anticipated Expenditure Amount: \$279,630 HOME for Urban County administered by MCHSD*

**Proposed accomplishments: 8 HOME units in the Urban County**

#### **Strategic Objective: SO-2**

##### **Outcome: Affordability (DH-2)**

##### **Local Strategic Objective: Homebuyer Assistance**

*Goals to Address High Priority Needs: Increase the availability of affordable owner housing*

*Activity: Downpayment assistance to low and moderate income households.*

*Sources: HOME, CDBG, ADDI, Other*

*Five Year Goal: 235 units*

*Year Four Anticipated Expenditure Amount: \$279,630 HOME administered by MCHSD, \$66,277 CDBG for NHS*

**Proposed accomplishments: 18 HOME units, 165 (counseling) CDBG units**

#### **Strategic Objective: SO-3**

##### **Outcome: Affordability**

##### **Local Strategic Objective: Acquisition of land and construction of new housing owner occupants**

*Goals to Address High Priority Needs: Increase the availability of affordable owner housing*

*Activity: Acquisition and development for owner-occupied housing.*

*Sources: CDBG, HOME*

*Five Year Goal: 210 new ownership units*

*Year Four Anticipated Expenditure Amount: \$0*

**Proposed accomplishments: 0**

**Strategic Objective: SO-4**

**Outcome: Availability/Accessibility**

**Local Strategic Objective: Single Family Housing Emergency Repair**

Goals to Address High Priority Needs: Improve the habitability of owner occupied housing

Activity: Emergency home repair assistance to low and moderate income households

Source: HOME/CDBG

Five Year Goal: 1,250 households

Year Four Anticipated Expenditure Amount: \$0

**Proposed accomplishments: 0**

**Strategic Objective: SO-5**

**Outcome: Affordability**

**Local Strategic Objective: Acquisition and Rehabilitation of Rental Housing**

Goals to Address High Priority: Increase the supply of affordable rental housing

Activity: Acquisition and rehabilitation assistance to house low and moderate income households.

Sources: HOME, CDBG, LIHTC, HTF, Other

Five Year Goal: 114 rental units

Year Four Anticipated Expenditure Amount: \$0

**Proposed accomplishments: 0**

**Strategic Objective: SO-6**

**Outcome: Affordability**

**Local Strategic Objective: Acquisition of Land and Construction of New Rental Housing**

Goals to Address High Priority: Increase the supply of affordable rental housing

Activity: Acquisition and development assistance to house low and moderate income households.

Sources: CDBG, HOME, LIHTC, HTF, Other

Five Year Goal: 50 new rental units

Year Four Anticipated Expenditure Amount: \$0

**Proposed accomplishments: 0**

**Strategic Objective: SO-7**

**Outcome: Affordability**

**Local Strategic Objective: Preservation of Existing Public Housing Units and Tenant Based Rental Assistance**

Goals to Address High Priority: Preserve existing number of units of public housing and Housing Choice Vouchers.

Activity: Administration of public housing and Housing Choice Vouchers to house extremely low, low and moderate-income households.

Sources: Section 8, HOME, CDBG, Other

Five Year Goal: 6,562 households

Year Four Anticipated Expenditure Amount: \$0

**Proposed accomplishments: 0**

**Strategic Objective: SO-8**

**Outcome: Affordability**

**Local Strategic Objective: Expansion of Assisted Rental Units in the Private Marketplace**

*Goals to Address Priority Needs: Increase the number of assisted rental units in the private market place*

*Activity: Applications for additional assisted vouchers when they become available or through tenant based rental assistance.*

*Sources: Section 8, HOME, CDBG, Other*

*Five Year Goal: 100*

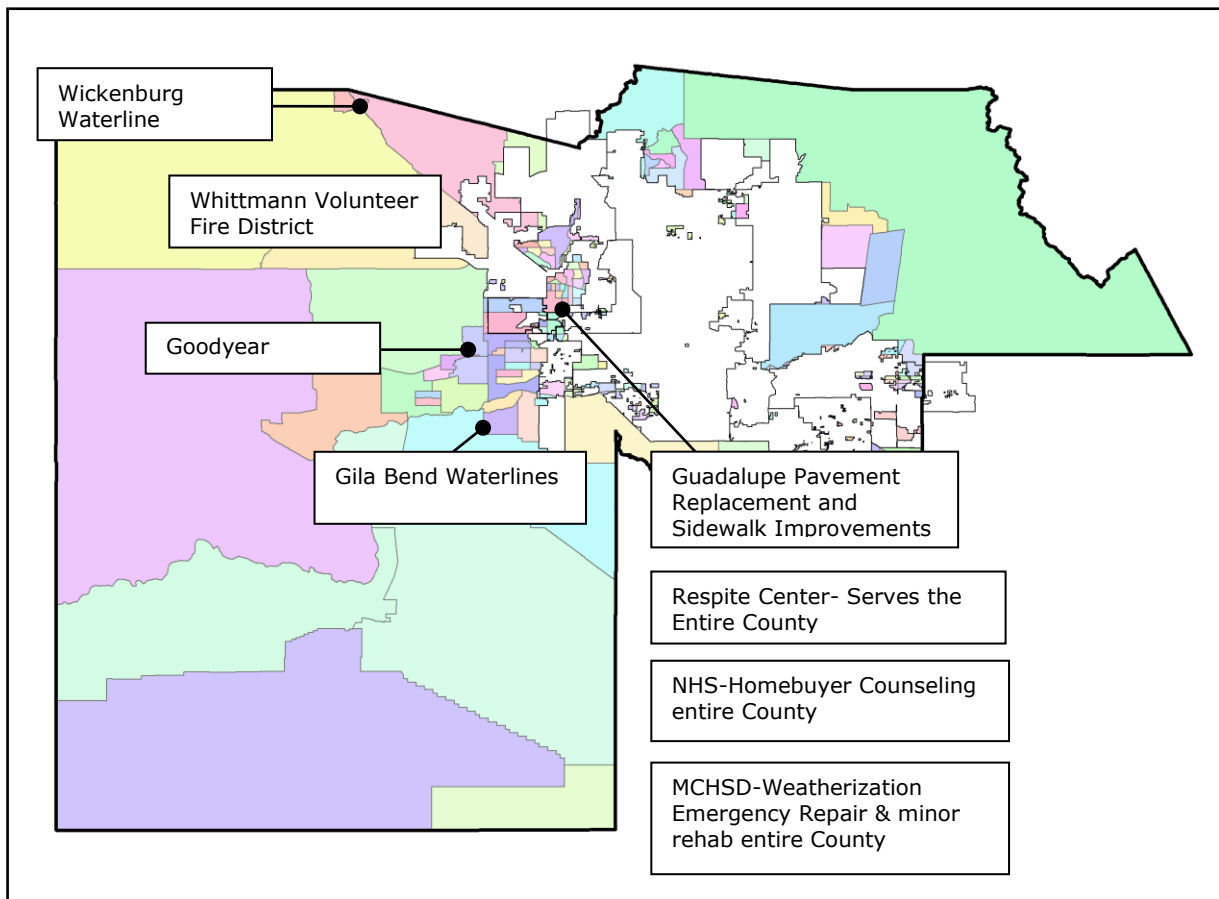
*Year Four Anticipated Expenditure Amount: \$0*

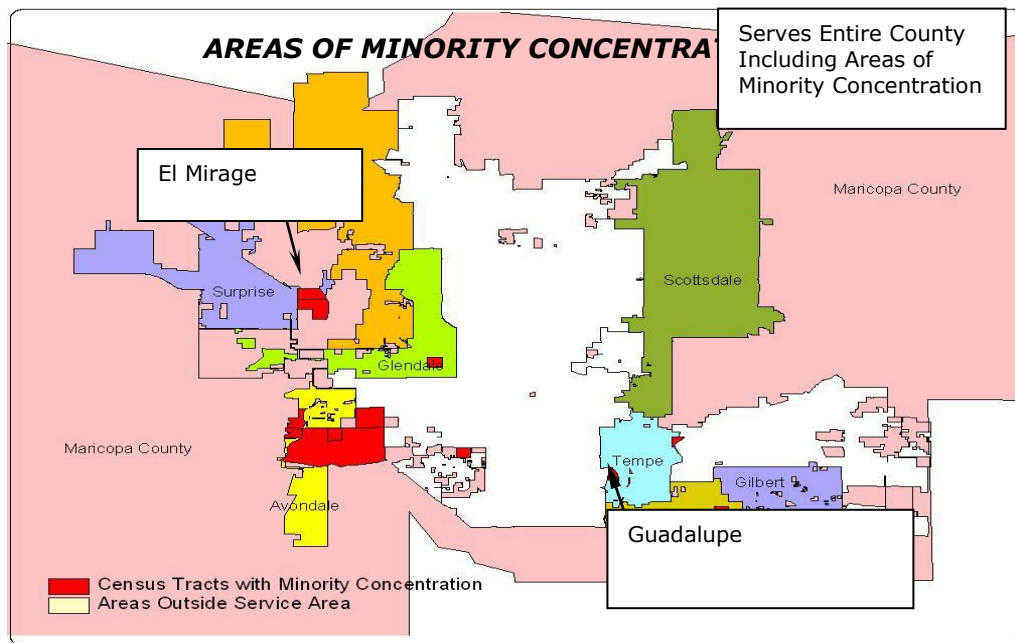
**Proposed accomplishments: 0**

*Please note that the above is the Urban County housing activities only. Refer to the HOME Consortium AAP for all housing related activities.*

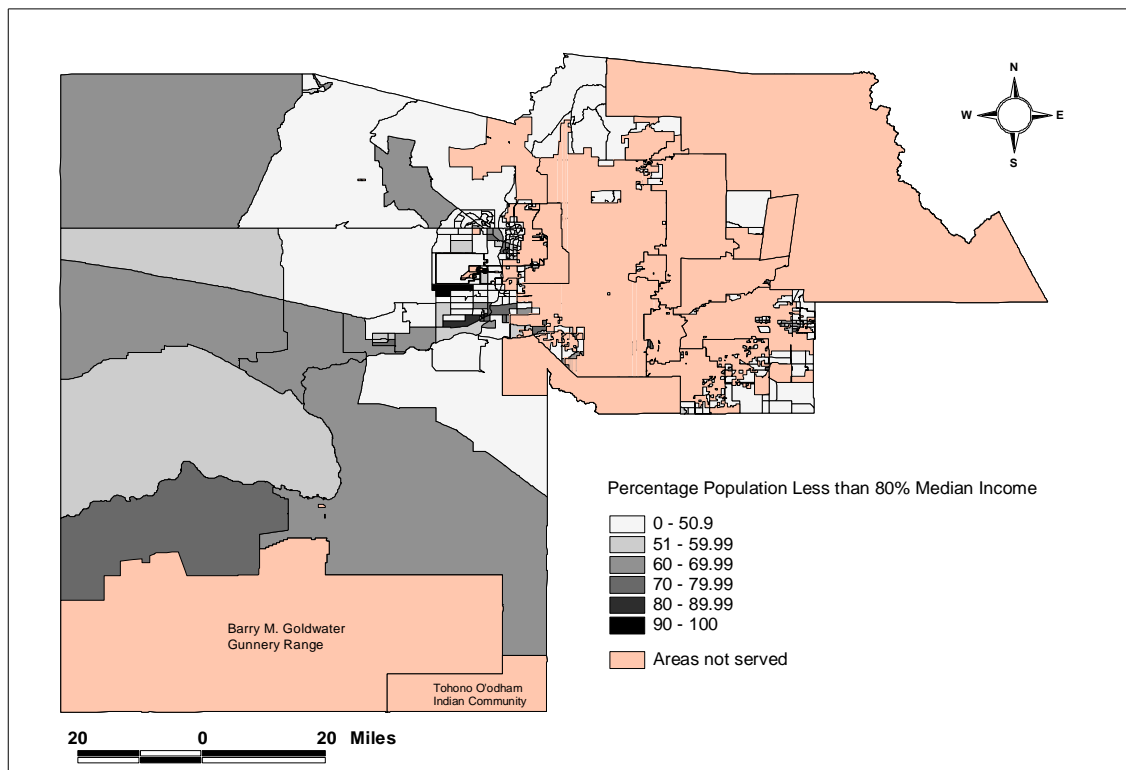
## **Geographic Distribution/Allocation Priorities 91.220(d) and (f)**

There are areas of minority concentration in El Mirage, Tolleson, Guadalupe, and the unincorporated area (see map on following page). The Maricopa Urban County does not intend to dedicate a portion of federal funds to specific minority or low-income target areas during FY 2013/2014.





See the maps above. Each project will serve a portion of the community where it is located, except the Respite Center, Weatherization and NHS projects which serve the entire County.



## **Annual Affordable Housing Goals 91.220(g)**

**\*If not using the CPMP Tool:** Complete and submit Table 3B Annual Housing Completion Goals.

**\*If using the CPMP Tool:** Complete and submit the Table 3B Annual Housing Completion Goals.

*Please see the 2013 Tables workbook for housing goals. Goals in these tables were established during the development of the 2010-2014 Consolidated Plan. Maricopa County HOME Consortium will strive to meet proposed goals, however anticipated cuts to funding levels and late funding level announcements may affect the ability to achieve set goals.*

## **Public Housing 91.220(h)**

*The Housing Authority of Maricopa County (HAMC) manages 894 public housing rental units at seventeen sites throughout Maricopa County and administers 1,562 Section 8 vouchers. In addition, HAMC manages one scattered site, single-family tax credit/mixed finance development and is a limited partner in a second mixed-finance 120-unit family development. The first of the public housing developments was planned and constructed a half century ago and the last traditional public housing development was built a little over a decade ago. Because of various changes in federal housing policy, it is imperative that the HAMC engage in new policies to provide for the accomplishment of its mission, "to increase the supply of affordable rental housing for low-income households who cannot afford to pay market rents, and promote training, educational opportunities, and economic self-sufficiency so they can become homeowners".*

*In order to accomplish its mission in an increasingly complicated and independent situation, it is the agency's intention to consider the following actions:*

- *Maintain a portfolio of affordable rental housing in Maricopa County.*
- *Conduct a site physical needs analysis of current housing inventory and develop a strategy including immediate and long term capital needs.*
- *Pursue public-private partnerships to secure funding and financing, such as Low Income Housing Tax Credits.*
- *Issue Tax Exempt Bonds through the Industrial Development Authority to recapitalize the developments.*
- *Energy Performance Contracts to leverage private sector financing for energy related upgrades.*
- *Conversion of public housing properties to mixed finance development to allow for Section 8 and other programs.*
- *Conversion of public housing units with a combination of public housing and Section 8 will be incorporated to maximize subsidies by sites.*
- *Disposition will be an option for assets determined not to be feasible to maintain as affordable housing because of location, cost of redevelopment,*



- impact and other factors. If disposition is determined to be the best option for a site, HAMC would look to replace the disposed units in another location.*
- *Use Replacement Housing Funds to improve and increase density at Madison Heights in Avondale, Arizona.*
  - *Add 35 project-based vouchers (PBV) to Rose Terrace Apartment I Avondale, AZ to support long term operations.*
  - *Use Project-based Vouchers (PBV) on HAMC developments to increase options and opportunities for residents.*
  - *Solicit proposals from private developers who would like to develop supportive housing.*

*The Housing Authority of Maricopa County is not designated as "troubled."*

## **Homeless and Special Needs 91.220(i)**

Describe, briefly, the jurisdiction's plan for the investment and use of available resources and one-year goals for reducing and ending homelessness.

Describe specific action steps for reducing and ending homelessness that (i) reach out to homeless persons (especially unsheltered persons) and assessing their individual needs; (ii) address emergency shelter and transitional housing needs; (iii) help homeless persons (especially persons that are chronically homeless, families with children, veterans, and unaccompanied youth) make the transition to permanent housing and independent living.

Homelessness Prevention—Describe planned action steps for reducing ending homelessness that help low-income individuals and families avoid becoming homeless, especially extremely low-income individuals and families who are likely to be discharged from publicly funded institution and systems of care or those receiving assistance from public or private agencies that address housing, health, social service, employment, education or youth needs.

Describe specific activities the jurisdiction plans to undertake to address the housing and supportive service needs of persons who are not homeless (elderly, frail elderly, persons with disabilities, persons with HIV/AIDS, persons with alcohol or other substance abuse problems).

*The Urban County's plan for the investment and use of available resources and one-year goals for reducing and ending homelessness includes assisting in utilizing Emergency Solutions Grant to fund emergency shelters for 596 individuals and prevention services. These activities are high priority as described in the Five Year Consolidated Plan. Some other note sources includes—*

- *Ryan White funding*
- *SSBG*
- *United Way*
- *CDBG*
- *Private foundations*

*As a member of Continuum of Care, HUD awarded McKinney Funds funding in the amount of*

- \$25 million in renewal funding, to support 60 projects that will provide homeless assistance in Maricopa County during FY 2013/2014. (Source: Maricopa Association of Governments)

*These grants also fund supportive services to help homeless people break the cycle of homelessness and help them rejoin the community in a positive way. Maricopa County also dedicates ESG resources, and CDBG, to support the regional effort; but the Stuart B. McKinney funds are the largest resource for housing for the homeless population and represent the outside goal for the number of permanent and transitional beds to be made available. Although the McKinney-Vento program funds continuum of care agencies directly, those agencies also depend on smaller contributions from CDBG and without those resources would not be able to continue to assist the people they are already helping.*

*In addition, Maricopa takes an active role in the MAG Continuum of Care Committee on Homelessness and the operations of the Human Services Campus. Emergency shelter beds for seriously mentally ill and homeless men and women are provided on the Human Services Campus. Phase I of the construction project was completed in October 2005; and Central Arizona Shelter Services, the dental clinic, the Maricopa County Healthcare for the Homeless Program, the St. Vincent de Paul Facility and the Day Resource Center all became operational November 2005. The next phase of the project began in spring 2006, the construction of the Nova Safe Haven facility, was opened in 2008.*

*Homelessness Prevention— Maricopa County is part of "Dedicating Opportunities to End Homelessness Initiative" (DOEH) stakeholder meeting(s) that convened February 28, 2013. The discussion focused on how to eliminate barriers to ending homelessness on a regional basis. The DOEH initiative is a joint effort between the U.S. Department of Housing and Urban Development the United States Interagency Council on Homelessness, designed to help communities identify opportunities to more strategically target mainstream resources to achieve their goals of ending homelessness. Stakeholders will identify mainstream opportunities that can be committed and targeted toward ending homelessness-such as housing choice vouchers, public housing, CDBG, and HOME funds. The goal is through this partnership real solution and resources will be attained and implemented. Progress will be reported in the CAPER.*

*Chronic homelessness—The MAG Continuum of Care Committee completed an update to its plan to end chronic homelessness in January, 2009. Components of the strategy include:*

- Additional "housing first" projects similar to Casa de Paz, which have:
  - Relaxed eligibility guidelines
  - Allowance for relapse as a part of recovery
  - Greater flexibility in access to multiple services
- One-stop day services
- Outreach and peer outreach teams.

*Additionally, Maricopa County continues to pursue every avenue available to assist with furthering the Continuum of Care efforts.*

*Homelessness Prevention — Services are provided through Community Action Program Agencies funded by the County Human Services Department primarily through ESG and SSBG grants.*

*Discharge Coordination Policy— the Arizona Department of Corrections established a staff position to work exclusively with inmates at risk of being released without a place to live. The transition plan for discharge has a goal to ensure that inmates are released to safe, affordable housing rather than to a shelter or the streets. Some hospitals, most notably John C. Lincoln, already have detailed discharge plans.*

*Below are specific activities the Urban County plans to undertake to address the housing and supportive service needs of persons who are not homeless (elderly, frail elderly, persons with disabilities, persons with HIV/AIDS, persons with alcohol or other substance abuse problems).*

*Special needs populations considered a priority in the Maricopa Urban County are identified in the table below. Maricopa County, through its Human Services Department, intends to address needs through the following programs.*

- Senior and Adult Independent Living Program – Funds will be provided through the County general fund and Area Agency on Aging to provide in-home case management for the elderly and the physically disabled age 18 to 59. The goal is to keep people in their own homes as long as possible.*
- Community Action Program (CAP) – Will work with all of Maricopa County except Glendale, Phoenix and Mesa; who have their own programs. Will use private, local, county, and federal funding to assist the elderly, disabled, and other low and moderate income residents with a menu of services. Services may include information and referral, utility deposits and payments, rent/mortgage deposits and assistance, eviction prevention, food pantries, gas and prescription assistance, head start programs, and IDA asset assistance. Programs are developed to ensure local needs are met.*
- Weatherization Program – Will use private and federal funds to provide an in-home energy audit and weatherization assistance to elderly, disabled, and other low and moderate income residents.*
- Repair/Replacement Program – Will use private funds to complete repair/replacement of utility related appliances for the elderly, disabled, and other low and moderate income County residents.*
- Community Justice Support Services – Will utilize County Jail Tax funds to provide comprehensive, case management, and wrap-around services to enable youth/adult probationers to be successful in the community, and, correspondingly, reduce their risk of reoffending.*
- Head Start and Early Head Start – Uses federal funds to provide comprehensive child development programs for children 0 – 5 years of age, pregnant women, and the families to prepare children for future success in school and to support parents as their child's primary nurturer and educator.*
- Workforce Development – Provides federally funded assistance to local businesses to obtain and maintain high-quality employees to ensure the vitality of area businesses and provide career seekers with complementary employment resources to assist in obtaining or retaining employment.*

*The following table below describes the other federal, state, and local public and private resources that are expected to be available to address the identified needs.*

Population	Services to be Provided	Estimated Need	Service Providers
<b>Elderly</b>	Case management, befriending services, counseling, adult day care, home care, home delivered meals	Area Agency on Aging Study in 2000 indicated current funding serves about one third of need.	Area Agency on Aging, AHCCCS, Community Action Program
<b>Frail elderly</b>	Case management, befriending services, counseling, adult day care, home care, home delivered meals, help service, nursing/ medical services	Area Agency on Aging Study in 2000 indicated current funding serves about one third of need.	Area Agency on Aging, Senior Adult Independent Living (SAIL), Arizona Long Term Care System, AHCCCS
<b>Persons with severe mental illness</b>	Outreach and identification, treatment, health care, income support, rehabilitation services		AHCCCS
<b>Developmentally disabled persons</b>	Assistive technology, employment and training, information and referral, transportation, caregiver respite	Area Agency on Aging Study in 2000 indicated current funding serves about one third of need.	The Centers for Habilitation, Arizona Bridge to Independent Living, Maricopa, AHCCCS
<b>Physically disabled persons</b>	Assistive technology, employment and training, information and referral, transportation, caregiver respite	Area Agency on Aging Study in 2000 indicated current funding serves about one third of need.	Arizona Technology Access Program, Easter Seals, Southwest Human Development, Arizona Center for the Blind and Visually Impaired, Valley Center for the Deaf, Arizona Commission for the Deaf and Hard of Hearing, Arizona Bridge to Independent Living, AHCCCS
<b>Alcohol/drug addicted persons</b>	Monitoring, screening, information and referral, detox, medication, education, self-help groups		AHCCCS
<b>Persons with HIV/AIDS and their families</b>	Case management, emergency financial assistance, food, transportation, early intervention, education, wellness and nutrition		Phoenix Shanti, Maricopa County Health Department, Ryan White, AHCCCS, City of Phoenix Housing Department

## Barriers to Affordable Housing 91.220(j)

*Identified barriers included the cost of land, cost of infrastructure, permit fees, impact fees, developer interest and insufficient resources. The actions that will take place during the next year to remove barriers to affordable housing include:*

- *Land donations;*
- *Reduce Permit fees when applicable,*
- *Reduce Impact fees when applicable,*
- *Community land trusts;*
- *Down payment assistance; and*
- *Direct general fund for leverage or match for affordable housing.*

*Specific actions to address barriers to affordable housing during the year for the Urban County communities include:*

- *Buckeye, El Mirage, Goodyear, Guadalupe, Wickenburg and Youngtown will waive permit fees for HOME-assisted housing rehabilitation.*
- *Maricopa County does not assess impact fees for residential development.*

## **Other Actions 91.220(k)**

Describe the actions that will take place during the next year to address obstacles to meeting underserved needs, foster and maintain affordable housing, evaluate and reduce the number of housing units containing lead-based paint hazards, reduce the number of poverty-level families develop institutional structure, enhance coordination between public and private agencies (see 91.215(a), (b), (i), (j), (k), and (l)).

*Actions to address obstacles to underserved needs include:*

- *The continued role of the CDAC in recommendations to the Board of Supervisors regarding allocation, expenditure, contract performance, and reprogramming of CDBG and HOME funds.*
- *Technical assistance by Maricopa County Human Services Department - Community Development Division staff to individual communities in managing, payment, and reporting on the funded projects.*
- *Continued advocacy by members of the CDAC regarding the preservation of HUD resources for housing and community development.*
- *Continued participation on the MAG Continuum of Care Committee on Homelessness.*

*Coordination between public and private agencies allows these programs to work effectively. The Urban County continues to strive for collaboration and fiscally responsible projects. The communities of Buckeye, El Mirage, Goodyear, Gila Bend, Guadalupe, Queen Creek, Tolleson, Wickenburg, and Youngtown, and the unincorporated areas of the County are represented on the Community Development Advisory Committee (CDAC). The CDAC is responsible for advising the Maricopa County Board of Supervisors on the annual allocations of CDBG and HOME funds. The participation in a public forum guided by adopted by-laws and mandatory community development objectives of each participating community is intended to provide for a reasonable distribution of the available HUD resources, and provide for broader citizen participation in the planning process.*

- *Maricopa County Human Services Department, Community Development Division, issues a Notice of Funding Availability each year to Urban County participants and interested parties announcing the availability of CDBG and HOME funds. Interested participants submit applications identifying a local community need affecting low/moderate income residents. Each applicant is asked to submit a resolution from the local community identifying the need and local support for the activity.*
- *The CDAC reviews the applications and makes recommendations to the Board of Supervisors based on the following criteria:*
  - *relative need of the community,*
  - *the effect of the proposed activities on the health and safety of the community,*
  - *past performance,*
  - *monitoring results,*
  - *quality of proposed annual activities; and*
  - *rates of expenditure on currently funded activities.*
- *Priorities for each category were developed in consultation with the member communities in the preparation of the Five-Year Consolidated Plan, and are detailed there.*

*In order to reduce the number of housing units containing lead-based paint hazards housing programs administered by the Urban County communities require that rental*

*units receiving tenant based rental assistance are required to meet minimum quality standards. For units built prior to 1978, to be occupied by a family with a child under six years old, all chipped and peeling paint will be removed prior to assisted occupancy. Rental units constructed before 1978 and rehabilitated with CDBG or HOME assistance are tested and all lead paint abated as part of the rehabilitation contract.*

*All homes constructed before 1978 and rehabilitated with CDBG or HOME assistance will comply with HUD LBP requirements. This activity will increase the inventory of lead-safe housing available to extremely low-income, low-income, and moderate-income families in Maricopa County.*

## PROGRAM SPECIFIC REQUIREMENTS

### **CDBG 91.220(I)(1)**

*It is anticipated that the Urban County will not have program income this fiscal year and there is not program income received in the preceding program year. Refer to each Consortium members Annual Action Plans for expected CDBG program income.*

Proceeds from Section 108 loan guarantees that will be used during the year to address the priority needs and specific objectives identified in its strategic plan.  
N/A

Surplus funds from any urban renewal settlement for community development and housing activities.  
N/A

Any grant funds returned to the line of credit for which the planned use has not been included in a prior statement or plan.  
None

Income from float-funded activities.  
N/A

Urgent need activities, only if the jurisdiction certifies.  
N/A

*Maricopa Urban County CDBG allocation for FY 2013-2014 is \$2,589,851 which includes administration. There is an additional \$280,675 from prior years for a total of \$2,589,851. City of Goodyear waterline and storm sewer improvements is a S/B activity..*



Location	Activity	CDBG Allocation	Other Funds		Outcome Indicator	Strategic Objective	Priority
			Amount	Source			
Gila Bend	Waterline & Fire Hydrant Replacement	\$429,343	\$12,152	Local Funds	1,862LF/ 50 persons	SO-8(a)	HIGH
Goodyear	Waterline and Storm Sewer Improvements	\$589,670	\$65,000	Local Funds	2,087& 2,052LF/ 26 persons Slum & Blight	SO-8(a)	HIGH
Guadalupe	Pavement Replacement Phase III	\$471,653	\$3,581	Local Funds	2,405LF/ 155 persons	SO-8(a)	HIGH
MCHSD	Weatherization Emergency Repair	\$210,000	\$2,783,000	Fed, State, Local Funds	14 hhlds 14 homes	SO-1	HIGH
Urban County NHS	Housing Counseling & Education NHS	\$66,277	\$23,322	Banks	82 hhlds	SO-8(a)	HIGH
Respite Shelter	Men's Respite Shelter	\$69,400	\$101,545	Fed, State, Local Funds	20 homeless persons	SO-8(c)	HIGH
Wickenburg	Waterline (Barnett)	\$292,471	\$31,490	Local Funds	2,595LF/88 persons (77 hhlds)	SO-8(a)	HIGH
Wittmann	Volunteer Fire District	\$223,742	\$0	None	3,591 persons	SO-8(b)	HIGH
Urban County	Grant Administration	\$517,970					

## HOME 91.220(I)(1)

Describe other forms of investment. (See Section 92.205)

If grantee (PJ) plans to use HOME funds for homebuyers, did they state the guidelines of resale or recapture, as required in 92.254.

*Urban County as a member of the HOME Consortium intends to use HOME funds for homebuyers. The guidelines for resale or recapture as required by 92.254 include the following as detailed in section 3 below.*

If grantee (PJ) plans to use HOME funds to refinance existing debt secured by multifamily housing that is being rehabilitated with HOME funds, state its refinancing guidelines required under 24 CFR 92.206(b).

N/A

Resale Provisions -- For homeownership activities, describe its resale or recapture guidelines that ensure the affordability of units acquired with HOME funds? See 24 CFR 92.254(a)(4).

*As a HOME Consortium member, the Urban County has two options for controlling the resale of HOME/ADDI -assisted homebuyer property during the affordability period. These are the recapture option and the resale option. The*

*participating jurisdiction must select which option it will use prior to providing assistance to the homebuyer.*

*Recapture Option* – Under this option, the HOME subsidy must be returned to the HOME Program. This option allows the seller to sell to any willing buyer at any price. Once the HOME/ADDI funds are repaid, the property is no longer subject to any HOME/ADDI restrictions. The recaptured funds must be used for another HOME/ADDI-eligible activity.

*Resale Option* – Under this option, the seller must resell the original home to another income-eligible homebuyer. This sale must be at a price that is affordable to the purchaser, although the seller is also allowed a fair return on the sale. Under the HOME regulations, the original homebuyer, now the home seller, must receive a "fair return" on their investment. "Fair Return" is defined as the return of the homeowner's original investment plus any capital improvements, not to exceed the newly assessed value at the time of sale, plus 60% of the remaining professionally appraised increase in value at the time of sale, during the affordability period. The Urban County member shall receive the remaining 40% of the newly assessed value upon the sale. Capital Improvements exclude maintenance. "Fair Return" for leasehold properties is defined as the lessees purchase price, plus 25% of the lessee's share of the increase in leasehold value at time of resale based on a leasehold valuation performed by a duly licensed appraiser.

*Urban County Policy Regarding Recapture/Resale*

*It is the policy of the Urban County and therefore, that each member of the Consortium may use either the recapture option or the resale option based on what is most beneficial to the member and to the potential homebuyer. The Urban County will select the method to be used prior to granting the HOME/ADDI assistance to the potential homebuyers. Each Consortium member will ensure the proper security instruments are executed to guarantee the HOME/ADDI investment for the affordability period for the selected recapture/resale option.*

*The Urban County may also provide homebuyer assistance through a contract with a CHDO or non-profit housing provider. Members will negotiate with the CHDO or non-profit housing provider the appropriate recapture/resale requirements for the program provided. This provision shall be adequately addressed in CHDO/Subrecipient contracts, and the proper security instruments shall be provided.*

*Owners who sell their property before the expiration of the affordability period will be entitled to a fair return on their equity investment. Homeowner's equity includes the homeowner's original contribution to the down payment, payment of mortgage principal during the period of ownership, value of any improvements added at the owner's expense, and the appreciated value of the property since its initial purchase.*

*If the recapture option is used, the fair return to the seller will be calculated based on the net proceeds from the sale and the amount of the original HOME investment in the property. The HOME/ADDI subsidy shall be recoverable by the Consortium member any time the house is sold before the expiration of the affordability period. The method that will be used to calculate the fair return and the HOME/ADDI subsidy to be recovered shall be detailed in the required security instruments. If the affordability period has been satisfied, the seller will be entitled to all net proceeds from the sale of the property.*

*If the resale option is used, the housing must remain affordable to the subsequent purchaser. The housing will be considered affordable if the subsequent purchaser's monthly payment of principal, interest, taxes and insurance do not exceed 30% of the gross income of a family with an income equal to 80% of median income for the area. If the property is no longer affordable to qualified homebuyers at the time of resale, the Urban County may take steps to bring the property acquisition cost to a level that is affordable by layering HOME subsidy in the form of down payment assistance and extending the affordability period. This may result in the actual sales price being different to the seller than to the subsequent homebuyer. Upon the resale of the home, the property must pass local building codes for existing housing. The Urban County shall determine who is responsible for the necessary repair costs to bring the property up to standards. These requirements shall be detailed in the required security instruments. If the affordability period has been satisfied, the seller shall be free to sell the home to any qualified buyer.*

*In the case of a foreclosure or foreclosure sale, the period of affordability shall be terminated. Upon receipt of notice that a foreclosure is pending, the Urban County member or subrecipient shall take positive steps to assert rights to a share of the proceeds of the foreclosure sale. The Urban County shall, to the extent feasible, recapture the original HOME/ADDI investment. If the homebuyer has failed to make payments to the first mortgage holder, the Urban County will not be obligated to correct any deficient payments. The amount recaptured shall be based on the amount of the net proceeds from the foreclosure sale. If no net proceeds are generated, the HOME/ADDI investment shall not be recaptured. The method that will be used to calculate the amount of the recaptured funds shall be detailed in the required security instruments. If the affordability period has been satisfied, the Urban County shall have no rights to the net proceeds resulting from the foreclosure sale.*

HOME Tenant-Based Rental Assistance -- Describe the local market conditions that led to the use of a HOME funds for tenant based rental assistance program.

*Details of the Maricopa HOME Consortium TBRA program is available in the HOME Consortium Annual Action Plan Year 4. The HOME Consortium has decided to reserve funding for TBRA County-wide during this FY. As described in the Consolidated Plan for the HOME Consortium, TBRA opportunities will be available to unmet needs as described in Table 1B- Special Needs (Non-homeless) populations. The local market conditions has led to the use of HOME funds for TBRA. And information derived from 2003 CHAS data for households with self care or mobility limitations and with "problems" extrapolated to 2010, indicates the specific housing needs going forward. The Maricopa County HOME Consortium will reserve \$184,251 in FY13 HOME funds for TBRA. Town of Gilbert waived their FY2013/2014 HOME allocation to the HOME Consortium. Consortium members elected to utilize funds for TBRA consortium wide.*

If a participating jurisdiction intends to use forms of investment other than those described in 24 CFR 92.205(b), describe these forms of investment.

N/A

Describe the policy and procedures it will follow to affirmatively market housing containing five or more HOME-assisted units.

*As described in the HOME Consortium Annual Action Plan, the HOME Consortium affirmatively markets housing containing five or more HOME-assisted units by making it public through marketing materials by which the owners/management company notifies the public and potential tenants. The HOME Consortium affirmative marketing policy states that property owners and subrecipients will be informed about the affirmative marketing aspects of the HOME Program upon initial contact, whether by telephone, letter or brochure. Owners may also be reached through articles in local newspapers; especially in the real estate sections and such articles will include information about affirmative marketing. All brochures, letters, etc. sent to potential applicants for HOME funds will include a statement about the affirmative marketing requirements. Property owners and subrecipients will be required to carry out affirmative marketing procedures in terms of advertising HOME-assisted units and attracting tenants of all racial, ethnic and gender groups. When advertising rental units, owners shall state in their ads that Section 8 tenants are welcome in these particular units. HOME-assisted units to be occupied by Housing Choice Voucher holders will be governed by the Housing Authority's Equal Opportunity Housing Plan.*

Describe actions taken to establish and oversee a minority outreach program within its jurisdiction to ensure inclusion, to the maximum extent possible, of minority and women, and entities owned by minorities and women, including without limitation, real estate firms, construction firms, appraisal firms, management firms, financial institutions, investment banking firms, underwriters, accountants, and providers of legal services, in all contracts, entered into by the participating jurisdiction with such persons or entities, public and private, in order to facilitate the activities of the participating jurisdiction to provide affordable housing under the HOME program or any other Federal housing law applicable to such jurisdiction.

*The Urban County cities/towns takes affirmative steps to assure that small and minority businesses and women's business enterprises are utilized when possible as a source of supplies, equipment, construction, and services. These affirmative steps will include the following.*

- The inclusion of qualified small and minority businesses and women's business enterprises on solicitation lists, solicitation of bidding for public works, professional service or rehabilitation contracts*
- Assurances that small and minority businesses and women's business enterprises are solicited whenever they are potential sources, particularly for purchases of supplies and materials*
- The division of total requirements into smaller tasks or quantities so as to permit maximum participation; where economically feasible, and where allowable under federal and local procurement requirements*
- Where the requirement permits, establishment of delivery schedules designed to encourage participation by small and minority businesses and women's business enterprises*

*If any subcontracts are to be let, require the prime contractor to take the affirmative steps noted above.*

*The Urban County will ensure the inclusion, to the maximum extent possible, of minorities and women, and entities owned by minorities and women.*

*To ensure the inclusion, to the maximum extent possible, of entities owned by minorities and women, the Maricopa Urban County has developed the following program but not limited to:*

- *To facilitate opportunities for minority businesses and women's business enterprises to participate as vendors and suppliers of goods and services, the Maricopa HOME Consortium has developed a solicitation and procurement procedure. The procedure in place is as follows:*
- *Bids should be advertised in the local newspapers, local cable TV, Chambers of Commerce, and procurement assistance programs.*
- *Information on procurement procedures should be made readily available to minority businesses and women's business enterprises.*

If a jurisdiction intends to use HOME funds to refinance existing debt secured by multifamily housing that is rehabilitated with HOME funds, state its financing guidelines required under 24 CFR 92.206(b).

N/A

### **HOPWA 91.220(I)(3)**

1. One year goals for the number of households to be provided housing through the use of HOPWA activities for: short-term rent, mortgage, and utility assistance to prevent homelessness of the individual or family, tenant-based rental assistance, units provided in housing facilities that are being developed, leased, or operated.

N/A

*Maricopa Urban County is not a recipient of HOPWA funds. The City of Phoenix is the administering grantee for HOPWA funds for Phoenix, Maricopa County and Pinal County. Activities planned for the program year includes:*

<i>Emergency Assistance to help people retain housing</i>	<i>\$80,000</i>
<i>Rental Assistance similar to Housing Choice Vouchers but targeted to persons living with HIV/AIDS and their families</i>	<i>\$600,000</i>
<i>Housing Information Services through an HIV/AIDS Coordinator</i>	<i>\$100,000</i>
<i>HOPWA Supportive Housing through nonprofit organizations that provide housing for low-income persons living with HIV/AIDS.</i>	<i>\$879,567</i>
<i>Housing Specialist Employment Services</i>	<i>\$70,000</i>
<i>HOPWA Permanent Housing Placement (PHP)</i>	<i>\$25,000</i>
<i>HOPWA Program Management and Coordination</i>	<i>\$54,265</i>
<i>Total HOPWA Funds</i>	<i>\$1,808,832</i>

*Source: City of Phoenix FY 2012-2013 AAP*

*Funding will support varying activities that are provided through different sponsor agencies. Many of these agencies also receive operating assistance and funding for supportive services through CDBG, general funds, United Way and utility contribution programs in Consortium cities and Urban County communities. Ryan-White grants to Maricopa and Pinal County through the Department of Health and Human Services also support these agencies.*

*For additional information on the HOPWA program, please consult the City of Phoenix's FY 2013-2014 Annual Action Plan.*



## **ESG 91.220(I)(4)**

- 1 Identify the written standards for providing ESG assistance in accordance with 24 CFR 576.400(e)(1) and (e)(3).
- 2 If the Continuum of Care for the jurisdiction's area has established a centralized or coordinated assessment system that meets HUD requirements, describe the centralized or coordinated assessment system and the requirements for using the system, including the exception for victim service providers, as set forth under 24 CFR 576.400(d)
- 3 Identify the process for making awards and how the jurisdiction intends to make its allocation available to nonprofit organizations, and in the case of urban counties, funding to participating units of local government.
- 4 If the jurisdiction is unable to meet the homeless participation requirement in 24 CFR 576.405(a), specify the plan for reaching out to and consulting with homeless or formerly homeless individuals in considering and making policies and decisions regarding any facilities or services that receive funding under ESG.
- 5 Describe the performance standards for evaluating ESG activities.
- 6 Describe the consultation with each Continuum of Care that serves the jurisdiction in determining how to allocate ESG funds, develop performance standards, evaluate outcomes of activities assisted by ESG funds, and develop funding policies, and procedures for the administration and operation HMIS.

*The driving force behind the strategy to end homelessness is the MAG Continuum of Care Committee on Homelessness. Specific actions addressed here are taken from the draft Regional Plan Update.*

*Maricopa County is a direct recipient of ESG funding. \$164,046 in FY 2013–2014 Emergency Solutions Grant (ESG) funds is available to Maricopa County Human Services. The ESG is administered by the Maricopa Human Services Department. The Department has been directly consulted in the preparation of this section of the Annual Action Plan.*

*The Maricopa County Human Services Department follows Maricopa County policy on grant award and management. The following contracts have already been set through an RFP process for the remaining Consolidated Plan period. As applicable, in 3-year increments, the activity will be re-bid. Actual amounts of annual contracts are subject to funding availability. Provider agencies for ESG are currently selected through a competitive RFP process.*

*Local Community Action Programs (CAP), Homeless Prevention Vouchers, \$55,050,*

- *\$55,050 will be used for vouchers, to assist households with eviction prevention or mortgage payment to prevent foreclosure. The Maricopa County Human Services Department contracts with 7 Governmental Agencies and 3 Non-Profit Agencies to provide services through the Community Action Programs in 12 communities in Maricopa County outside the cities of Glendale, Mesa and Phoenix. The HUD funds are distributed to Community Action Programs using a formula by population below 125% of the federal poverty level. Staff at the 12 Community Action Programs will complete applications for clients*



requesting assistance. A one-month portion of past due rent or mortgage payment not to exceed \$1,000 is allocated.

- Eligibility is based solely on:
  - A verified recent sudden reduction of income, and
  - Verification that the household will be able to resume payments on their own within a reasonable period of time.
  - The crisis reason must be verified and documented.
- Payments are made directly to Landlords or Mortgage companies through a voucher payment process.

\$98,428 will be used to fund emergency shelters operations in the Urban County.

- ESG Funds are used to address the objective of Suitable Living Environment and meet the outcome of Sustainability. All contracts include the following language: 4.2; 4.2.3 Limitations: A: Staff salaries (including fringe benefits) paid under the operating costs category is limited to 10 percent of the grant. Each year Maricopa County Human Services Department conducts a monitoring/audit session with the ESG-funded agencies and determines if the agency utilizes ESG funds for staff salaries. Currently, no agencies funded from ESG use these funds to pay any salaries.
- Match Source for Emergency Solution Grants – Subrecipient agencies are contractually obligated to match on a dollar for dollar basis from an alternate source. Maricopa County will use local funds to provide the 100% match requirement for the remainder of the ESG funds.

#### Planned use of ESG Funds for Emergency and Transitional Housing (individuals)

Table 1A Part 4: Countywide Homeless Needs Table: Families		Needs	Priority H, M, L	Plan to Fund? Y, N	Fund Source:	Year 3
Bed/ nights	Emergency Shelters- Operations	596	H	Yes	ESG	\$98,428
	Transitional Housing	999	H	No	ESG	\$0
	Permanent Supportive	999	M	No	ESG	\$0
	Prevention		H	Yes	ESG	\$53,315
	Administration				ESG	\$12,303
	Total				ESG	\$164,046

Continuum of Care for Maricopa County has established a centralized or coordinated assessment system that meets HUD requirements.

Sources of Funds—

- McKinney-Vento Homeless Assistance Act

## OTHER NARRATIVES AND ATTACHMENTS

Include any action plan information that was not covered by a narrative in any other section. If optional tables are not used, provide comparable information that is required by consolidated plan regulations.

### Fair Housing

*The Analysis of Impediments to Fair Housing Choice for Urban County*

Action to eliminate or mitigate barriers to fair housing choice.	MCC
Adopted a resolution supporting the right to fair housing choice within the community.	Y
Adopted a proclamation declaring April to be observed as Fair Housing Month.	Y
Secured fair housing training for local government staff.	Y
Sponsored or put on fair housing training to residents of the community.	Y
Published public notices in local papers about the right to fair housing.	Y
Maintained a Fair Housing page on community's web page.	Y
Maintained a direct link from community webpage to the HUD Fair Housing website.	Y
Member of the Arizona Fair Housing Partnership.	Y
Participated in events sponsored by the Arizona Fair Housing Partnership.	Y
Maintained a call log for fair housing complaints and referrals.	Y
Made fair housing referrals to the AZAG and the AZ Fair Housing Center.	Y
Engaged the professional housing community in discussion of fair housing issues.	Y
Reviewed local ordinances for compliance with the Fair Housing Act and ADA.	Y
Displayed fair housing posters in public buildings.	Y
Purchased and distributed fair housing marketing materials.	Y
Have the Fair Housing Logo on business cards, local brochures and program marketing information.	Y
Monitor subrecipients for compliance with fair housing and affirmative marketing requirements.	Y
Other Fair Housing activities or expenditures	Y
Current Update to the AI	2011
Amount to spend on Fair Housing Activities	\$2,900

## MARICOPA URBAN COUNTY FAIR HOUSING PLAN MATRIX, FY 2011-2015

IDENTIFIED IMPEDIMENTS TO BE ADDRESSED	ANTICIPATED GOALS TO BE ATTAINED	ACTIVITIES OR STRATEGIES TO MEET THE GOALS	BENCHMARKS BY YEAR	ANTICIPATED INVESTMENT & SOURCE	ANNUAL ACCOMPLISH-MENTS
Issue #1: A survey of Maricopa Urban County residents indicated evidence of housing discrimination. Discrimination based on race and disability was the most prominent.	Promote and increase awareness of fair housing issues and policies in the region.	#1: Each April, continue to adopt a proclamation declaring April to be observed as Fair Housing Month.	2011 - 2015 Annually during the 5 year plan.	\$5,000 annually CDBG	April 2013
		#2: Through FY 2015 assign a Fair Housing Coordinator for the Maricopa Urban County region to facilitate and foster the implementation of educational and action items noted in this fair housing plan.	2011 - 2015 Annually during the 5 year plan.		February 2013
		#3: Annually, encourage the adoption of resolutions by Urban County's localities supporting the right to fair housing choice in the region.	2011 - 2015		
		#4: Annually, publish public notices in local papers about the right to fair housing.	2011 - 2015	\$3,500 annually CDBG	April 2013
		#5: Through April 2015, participate in the Arizona Fair Housing Partnership and co-sponsor a Fair Housing awareness event in April of that same year.	2011 - 2015 Annually during the 5 year plan.		
		#6: Through the Arizona Department of Real Estate, continue to encourage that the Fair Housing Logo is on business cards, local brochures and program marketing information by real estate licensees.	2011 - 2015 Annually during the 5 year plan.	\$3,000 CDBG	Annually
		#7: Continue to maintain a call log for all fair housing complaints and referrals.	2011 - 2015 Annually during the 5 year plan.		Annually on HSD website
		#8: Continue to make fair housing referrals to the Arizona Attorney General's Office and the Arizona Fair Housing Center.	2011 - 2015 Annually during the 5 year plan.		

IDENTIFIED IMPEDIMENTS TO BE ADDRESSED	ANTICIPATED GOALS TO BE ATTAINED	ACTIVITIES OR STRATEGIES TO MEET THE GOALS	BENCHMARKS BY YEAR	ANTICIPATED INVESTMENT & SOURCE	ANNUAL ACCOMPLISH-MENTS
		#9: By September of 2012, refine the Maricopa Urban County fair housing webpage to incorporate an ongoing brief survey regarding fair housing issues for continuing usage.	2012 - 2013		
Issue #2: Need for Community Education - The number and nature of the fair housing complaints being received from Maricopa Urban County residents tends to be low. The results of the housing discrimination survey indicate that there is a need for more community education.	Improve community education about fair housing issues and policies.	<p>#10: Facilitate the execution of periodic fair housing training for the Urban County region to be conducted by the Arizona School of Real Estate (3 hour seminars charged at approximately \$30/person) and explore such training for key staff as a pre-condition to the execution of annual CDBG contracts.</p> <p>#11: Continue to annually meet with all Urban County subrecipients as to their annual fair housing certifications and requirements therein, as well their identified priority fair housing issues and progress toward addressing such issues.</p> <p>#12: Annually meet and confer with Housing Authority of Maricopa County concerning joint training for staff.</p> <p>#13: Continue to maintain a Fair Housing page on the Maricopa County website that includes a direct link the to the HUD Fair Housing website, Attorney General's Office of Fair Housing, AG SB 1070 Advisory, the Arizona Fair Housing Partnership, the Arizona Fair Housing Center and the Arizona Department of Housing. Add supplemental links as appropriate over time.</p> <p>#14: Continue to annually display fair housing posters and make fair housing materials available in Urban County public facilities.</p>	<p>2011 – 13. Then annually during the 5 year plan.</p> <p>2011 - 2015 Annually during the 5 year plan.</p> <p>2011 - 2015 Annually during the 5 year plan.</p> <p>2011 - 2015 Annually during the 5 year plan.</p> <p>2011 - 2015 Annually during the 5 year plan.</p>	<p>\$2,000 CDBG</p> <p>\$4,000 in-kind</p>	Fair Housing Event April 19, 2013

IDENTIFIED IMPEDIMENTS TO BE ADDRESSED	ANTICIPATED GOALS TO BE ATTAINED	ACTIVITIES OR STRATEGIES TO MEET THE GOALS	BENCHMARK S BY YEAR	ANTICIPATED INVESTMENT & SOURCE	ANNUAL ACCOMPLISH- MENTS
Issue #3: Minority/Poverty Concentrations - Minority and poverty concentrations exist in the Urban County and minority populations continue to grow.	Increase fair housing education to minority and low-income populations.	#15: By January of 2013, complete a Spanish Fair Housing brochure specifically for the residents of the Urban County as well as add a Spanish version of the Maricopa County fair housing webpage.	FY 2012-2013	\$500 CDBG	
		#16: By January of 2013, work with all Urban County subrecipients to have Spanish Fair Housing brochures distributed to specific and appropriate areas with concentrations of minority populations.	FY 2012-2013	\$500 CDBG	
		#17: Continue to implement the Limited English Policy (LEP) and plan for the Maricopa Urban County in cooperation with subrecipients. Ensure that factor analyses, Language Assistance Plans and appropriate language support are incorporated within LEP Plans.	2011 - 2015 Annually during the 5 year plan.		
		#18: Continue to provide education on fair housing to a large number of individuals/families for whom English is not their first language who come into the Maricopa Urban County member's offices and facilities.	2011 - 2015 Annually during the 5 year plan.		
		#19: Continue to encourage minorities and lower-income families to seek housing counseling within the Maricopa Urban County that will help such individuals and families to find housing outside areas of minority concentration.	2011 - 2015 Annually during the 5 year plan.		

IDENTIFIED IMPEDIMENTS TO BE ADDRESSED	ANTICIPATED GOALS TO BE ATTAINED	ACTIVITIES OR STRATEGIES TO MEET THE GOALS	BENCHMARKS BY YEAR	ANTICIPATED INVESTMENT & SOURCE	ANNUAL ACCOMPLISHMENTS
Issue #4: Foreclosures, Loan Modification and Predatory Lending - A high correlation exists when comparing past foreclosure and subprime lending activity with minority concentrations. This includes a higher incidence of predatory lending activity for Hispanic households compared to the rest of the population. These facts strongly suggest the need for non-predatory loan modification/foreclosure intervention and counseling assistance for minority households in the Urban County region.	Increase assistance for non-predatory loan modifications/foreclosure intervention among minority households.	#20: By January of 2013, undertake targeted efforts to have Spanish Fair Housing brochures that include information on foreclosure intervention and loan mitigation opportunities distributed to specific areas with concentrations of minority populations.	FY2012-2013	\$500 CDBG	In process
		#21: Foster the pursuit by non-profits of opportunities from the federally funded Emergency Economic Stabilization Act awarded to Arizona from the "Hardest Hit Fund" available through the State Department of Housing for Urban County residents, especially in tracts with higher concentrations of minority households. Emphasize programmatic efforts to assist households to remain in their homes, stabilize neighborhoods and address homelessness.	2011-15 Annually during the term of the plan.		
		#22: By 2015, execute a "testing program" to assist in determining the nature and type of discriminatory practices that are occurring within priority geographical areas established by members of the Urban County. Consider testing to be undertaken by the City of Phoenix Equal Opportunity Department via intergovernmental agreement. If tests show discrimination to be occurring, results can be shared to discourage future practices and encourage community support.	FY 2014-2015	\$8,500 CDBG	Planning 2013
		#23: Assign a representative to actively participate with the Arizona Fair Housing Partnership and the Arizona Foreclosure Prevention Task Force. Work with the NSP Roundtable and the Arizona Mortgage Lenders Association to discourage predatory lending and loan modification practices within the Maricopa Urban County.	2011-15 Annually during the term of the plan.		2012, 2013



IDENTIFIED IMPEDIMENTS TO BE ADDRESSED	ANTICIPATED GOALS TO BE ATTAINED	ACTIVITIES OR STRATEGIES TO MEET THE GOALS	BENCHMARKS BY YEAR	ANTICIPATED INVESTMENT & SOURCE	ANNUAL ACCOMPLISHMENTS
		#24: Continue to encourage and refer residents to attend classes on homebuyer education and foreclosure prevention held by qualified non-profit organizations operating within the Urban County.	2011-15 Annually during the term of the plan.		2012, 2013
Issue #5: Disability Accessibility - In addition to race and poverty, disability access was raised as the other major type of discrimination that exists in the Urban County according to residents surveyed on housing discrimination.	Educate housing providers about their responsibilities to comply with the Federal Fair Housing Act and accessibility for persons with disabilities.	#25: By October 2013, partner with other Urban County municipalities to sponsor and facilitate a community education event about Fair Housing and how it specifically pertains to disability issues.	FY 2013-2014	\$1,000 CDBG	April 19, 2013
		#26: Continue to work with County and local Urban County Development & Sustainability staff on providing annual education to housing facility property owners and neighborhood associations on the importance of integrating people with disabilities into the entire community. Jointly undertake at least one training seminar bi-annually.	2011-15 Annually during the term of the plan.		
		#27: Facilitate the provision of training to Maricopa County and local Urban County Development Services staff about accessibility and the need for increased accessible units. Generate the preparation of a specialized accessibility training module by January of 2013.	FY 2012-2013.	\$1,000 CDBG	
		#28: Continue to encourage the development of handicapped accessible or adaptable housing on all projects receiving federal funds.	2011-15 Annually during the term of the plan.		

IDENTIFIED IMPEDIMENTS TO BE ADDRESSED	ANTICIPATED GOALS TO BE ATTAINED	ACTIVITIES OR STRATEGIES TO MEET THE GOALS	BENCHMARK S BY YEAR	ANTICIPATED INVESTMENT & SOURCE	ANNUAL ACCOMPLISH- MENTS
Issue #6: Public/Private Policies and Zoning - A review of the policies and practices of Maricopa County and the communities within Urban County geography indicates that many local Codes do not make specific reference to the accessibility requirements contained in the 1988 amendments to the Fair Housing Act. Local zoning ordinances generally do not contain any special provisions for making housing accessible to persons with disabilities nor include a discussion of fair housing. Fair housing education and technical assistance appear to be ongoing needs of both the public and private sector in the Maricopa Urban County region.	Address identified issues associated with public ordinances, public programs and private sector issues and education.	<p>#29: By January of 2013, work with County and local Urban County Development &amp; Sustainability staff to determine the feasibility of including specific reference to the accessibility requirements contained in the 1988 amendments to the Fair Housing Act in local zoning and planning codes.</p> <p>#30: On an annual basis, provide at least one fair housing training seminar to Maricopa County and local Urban County Development &amp; Sustainability staff. At least once every two years, offer fair housing training (existing 3 hour modules) provided by the Arizona School of Real to relevant Sustainability staff. Ensure training includes components on senior housing issues associate with Fair Housing.</p> <p>31: Work with local Urban County Development &amp; Sustainability staff on their review of zoning and planning codes to determine if there are any guidelines that may discourage affordable housing.</p> <p>#32: Work with the Arizona Department of Real Estate to foster their encouragement and/or monitoring of fair housing logos and fair housing links on State of Arizona real estate licensee websites in the region.</p> <p>#33: Confer with the State of Arizona Attorney General's Office and Banking Dept. to encourage relevant fair housing training for licensed Arizona bankers and mortgage bankers.</p>	<p>FY 2012-2013</p> <p>Periodically during the term of the plan.</p> <p>2011-15 Annually during the term of the plan.</p> <p>2011-15 Annually during the term of the plan.</p> <p>2011-15 Annually during the term of the plan.</p>	\$1,000 CDBG	

IDENTIFIED IMPEDIMENTS TO BE ADDRESSED	ANTICIPATED GOALS TO BE ATTAINED	ACTIVITIES OR STRATEGIES TO MEET THE GOALS	BENCHMARK S BY YEAR	ANTICIPATED INVESTMENT & SOURCE	ANNUAL ACCOMPLISH- MENTS
		<p>#34: Advocate for maintenance of FY 2010/11 funding levels for federal affordable housing, assisted housing and community development resources for the region.</p> <p>#35: Annually track the implementation and performance associated with the objectives and fair housing action plan delineated in the <u>FY 2011-2015 Maricopa Urban County Analysis of Impediments To Fair Housing Choice</u> and utilize the Affordable Housing Matrix Chart toward this end.</p>	<p>2011-15 Annually during the term of the plan.</p> <p>2011-15 Annually during the term of the plan.</p>		<p>HSD Washington D.C. visit march 2013</p> <p>2013</p>

## **Reducing Poverty**

*The Anti-Poverty Strategy is the strategy to improve the individual's capacity for economic self-sufficiency. Funding for anti-poverty activities in Urban Maricopa County during the next year will come from resources other than the Community Development Block Grant. Anti-poverty activities will include:*

- *Minority Business Enterprise/Women's Business Enterprise and Section 3 opportunities in procurement*
- *Resident Opportunity and Self-Sufficiency Programs for assisted housing residents*
- *Workforce Development*
- *Support services to TANF families*
- *Anti-crime and employment and training programs*
- *Business retention, creation and start up*
- *Community Action Programs*
- *Head Start Programs*

*Maricopa County's Human Services Department continues to operate two comprehensive One-Stop Career Centers; Maricopa Workforce Connections. Services offered to job seekers at MWC Career Centers include:*

- *Skill and aptitude assessment*
- *Career counseling*
- *Resume instruction and review*
- *Labor market and occupational demand information*
- *Supportive services (personal, family and emergency)*
- *Supplemental financial assistance for select local training/education programs*
- *State-of-the art computer labs and office equipment*
- *Job search and job readiness workshops (assessments, resumes, basic computer, job searching, interviewing and Job Clubs)*
- *Assist job seekers in loading their resume on the state's online job board, [azjobconnection.gov](http://azjobconnection.gov), to improve search-ability by employers, job developers and MWC's Business Services team; and improve job leads/employment connections.*

*The Department's Special Transportation Division was eliminated due to funding shortfalls. However, Human Services Department will continue efforts to find alternative transportation options for County residents.*

*Maricopa County administers contracts funded from an estimated \$2,000,000 in County general funds budgeted to economic development. The anticipated goal of this locally funded activity is the preservation and/or creation of 3,000 jobs over the 5 year Consolidated Plan Period.*

## **Attachment A**

### ***Public Comments***

The Annual Action Plan was made available to the public for comment from April 1, 2013 through May 6, 2013. A Notice of Public Hearing, which announced the availability of the Annual Action Plan for public comment and the dates of the comment period, was published in the East valley tribune and West Valley View. The Draft Annual Action Plan was also made available on-line on the County web page for Maricopa County, Human Services Department, Community Development Division.

*The Maricopa County Community Development Advisory Committee, or CDAC is appointed by the County Board of Supervisors to serve in a citizens' advisory capacity and make recommendations on funding allocations, plans and amendments. During the application process that identified activities for inclusion in the Annual Action Plan, several meetings were held by CDAC. Six government entities and two nonprofits submitted applications on a variety of different activities and made presentations during these meetings. The needs identified in the public presentations resulted in the allocations of CDBG and HOME funds described in this Annual Action Plan.*

*No comments on the Annual Plan were received during the comment period or during the Public Hearings.*

**ATTACHMENT B**  
**Contact Information**

DUNS #10-6742245

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Director

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